



**Attorney Docket No. 10251-037**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of : Feilbogen, et al.  
U.S. Serial No. : 09/848,931  
Filing Date : May 4, 2001  
Title of the Invention : METHOD AND SYSTEM FOR INITIATING  
AND CLEARING TRADES  
Examiner Name : Nga B. Nguyen  
Art Unit : 3628

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

Applicants submit herewith a PTO/SB/08A form and a list of the reference thereon in order to comply with the Applicant's duty of disclosure.

Applicants respectfully request that the document listed be considered by the Examiner and be made of record in the above-identified application. Copy of the reference is being submitted herewith.

As this Information Disclosure Statement is being submitted after the issuance of a first Office Action, the Commissioner is authorized to deduct the fee set forth in CFR 1.17(p) and any other fees due in connection with this submission from Deposit Account No. 16-2500.

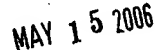
Respectfully submitted,  
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Attorneys for the Applicant(s)

By   
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12 May 2006

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Enclosures: PTO/SB/08A Form & cited reference



Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet	1	of	1
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**Complete if Known**

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Filing Date	May 4, 2001
First Named Inventor	Feilbogen, et al.
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[illegible][illegible]

Examiner  
Signature

Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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